## JC03 Rec'd PCT/PTO 1 3 SEP 2009

US DEPARTMENT OF COMMERCE ATTORNEYS DOCKET NUMBER **FORM PTO-1390** PATENT AND TRADEMARK OFFICE **REV. 5-93** TRANSMITTAL LETTER TO THE UNITED STATES P05,0307 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S.APPLICATION NO. (if known, see 37 CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/549281 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/003020 22 March 2004 16 April 2003 TITLE OF INVENTION: "ULTRASONIC SHOCK WAVE HEAD FOR USE IN LITHOTRIPSY" APPLICANT(S) FOR DO/EO/US Kirsten Hofmann, Herbert Tauber Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than  $\boxtimes$ delav. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest 4.  $\boxtimes$ claimed priority date. A copy of International Application as filed (35 U.S.C. 371(c)(2)) 5.  $\boxtimes$ is transmitted herewith (required only if not transmitted by the International Bureau). a.  $\boxtimes$ b. 🗆 has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) C. П A translation of the International Application into English (35 U.S.C. 371(c)(2). 6. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))  $\boxtimes$ are transmitted herewith (required only if not transmitted by the International Bureau). à. have been transmitted by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d.  $\bowtie$ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 10. □ U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. 
An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Search Report). An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (see attached envelope) 13. 

☐ A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. 

A substitute specification. Marked up version of Substitute Specification 15. ☐ A change of power of attorney and/or address letter.

16. 

☐ Other items or information:

a. .⊠ b. □ c. □ EXPRESS MAIL # EV635785552 dated September 13, 2005

## JC17 Rec'd PCT/PTO 13 SEP 2005

| U.S.APPLIGHTIGNINO; it is is wit, seg 7 2 1895 1  |              | INTERNATIONAL APPLICATION NO. PCT/DE2003/003054 |                      |            |     | ATTORNEYS DOCKET NUMBER P05,0049       |              |
|---|--------------|---|----------------------|------------|-----|--|--------------|
| 17.   The following fees are submitted:  BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):  (Search Report has been prepared by the EPO or JPO)\$1000.00  (Includes National Stage, Search, and Examination Fees)  |              |   |                      |            | CA  | ALCULATIONS                            | PTO USE ONLY |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |   |                      |            | \$9 | 00.00                                  |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  |              |   |                      |            |     |  |              |
| Claims  | Number Filed |   | Number<br>Extra      | Rate       |     |  |              |
| Total Claims  | 5-20 =       |   | 0                    | X \$50.00  | \$0 | 0                                      |              |
| Independent Claims  | 2-3 =        |   | 0                    | X \$200.00 | \$0 | 0                                      |              |
| Multiple Dependent Claims \$300.00 +  |              |   |                      |            |     | ······································ |              |
| TOTAL OF ABOVE CALCULATIONS =   |              |   |                      |            | \$9 | 00.00                                  |              |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)  |              |   |                      |            |     |  |              |
| SUBTOTAL =  |              |   |                      |            | \$9 | 00.00                                  |              |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |                      |            | \$0 | 0                                      |              |
| TOTAL NATIONAL FEE =  |              |   |                      |            | \$9 | 00.00                                  |              |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +                                   |              |   |                      |            |     | e Attached<br>velope                   |              |
| TOTAL FEES ENCLOSED =   |              |   |                      |            | \$9 | 00.00                                  |              |
|   |              |   |                      |            |     | Amount to be refunded                  |              |
|   |              |   |                      |            |     | charged                                |              |
| a. ⊠ A check in the amount of \$900.00 to cover the above fees is enclosed.   |              |   |                      |            |     |  |              |
| b.   Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |   |                      |            |     |  |              |
| c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.            |              |   |                      |            |     |  |              |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. |              |   |                      |            |     |  |              |
| SEND ALL CORRESPONDENCE TO:  SIGNATURE  |              |   |                      |            | 1   | <u>54</u>                              |              |
| Schiff Hardin LLP   |              |   |                      |            |     |  |              |
| Patent Department<br>6600 Sears Tower   |              |   | teven H. Noll<br>AME |            |     |  |              |
| Chicago, Illinois 60606-6473 28,982   |              |   |                      |            |     |  |              |
| Customer Number 26574 Registration Number   |              |   |                      |            |     | <del></del>                            |              |

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## **CERTIFICATION OF MAILING**

EXPRESS MAIL NO. EV636791965US

Date of Deposit:

September 12, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents and Trademarks, P.O. Box 1450, Alexandria VA 22313-1450.

Kirsten Hofmann, et al.

Patent Application entitled: "ULTRASONIC SHOCK WAVE HEAD FOR USE IN LITHOTRIPSY"

Our Case No. P05,0307

Signature of person mailing application and fee

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